

Employee Post-Travel Disclosure of Travel Expenses

Date/Time Stamp:
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2018 MAR -5 PM 4:03

Post-Travel Filing Instructions: Complete this form within **30 days** of returning from travel. Submit all forms to the **Office of Public Records in 232 Hart Building**.

In compliance with Rule 35.2(a) and (c), I make the following disclosures with respect to travel expenses that have been or will be reimbursed/paid for me. I also certify that I have attached:

- ☒ The **original** *Employee Pre-Travel Authorization* (Form RE-1), **AND**
- ☒ A **copy** of the *Private Sponsor Travel Certification Form* with all attachments (itinerary, invitee list, etc.)

The Information Technology and Innovation Foundation (ITIF)

Private Sponsor(s) (list all):

Travel date(s): **Feb 22-25, 2018**

Name of accompanying family member (if any): **None**

Relationship to Traveler: ☐ Spouse ☐ Child

IF THE COST OF LODGING **DID NOT INCREASE** DUE TO THE ACCOMPANYING SPOUSE OR DEPENDENT CHILD, ONLY INCLUDE LODGING COSTS IN EMPLOYEE EXPENSES. (Attach additional pages if necessary.)

Expenses for Employee:

	Transportation Expenses	Lodging Expenses	Meal Expenses	Other Expenses (Amount & Description)
<input type="checkbox"/> Good Faith Estimate	\$691	\$897	\$472	None
<input checked="" type="checkbox"/> Actual Amount				

Expenses for Accompanying Spouse or Dependent Child (if applicable):

	Transportation Expenses	Lodging Expenses	Meal Expenses	Other Expenses (Amount & Description)
<input type="checkbox"/> Good Faith Estimate				
<input type="checkbox"/> Actual Amount				

Provide a description of all meetings and events attended. See Senate Rule 35.2(c)(6). (Attach additional pages if necessary.): Panel and audience discussions concerning international trade, innovation, economic competitiveness, technology, and economic growth policies with industry and government officials.

3/5/2018 Douglas Petersen
(Date) (Printed name of traveler)

Ray A. Petersen
(Signature of traveler)

TO BE COMPLETED BY SUPERVISING MEMBER/OFFICER:

I have made a determination that the expenses set out above in connections with travel described in the *Employee Pre-Travel Authorization* form, are necessary transportation, lodging, and related expenses as defined in Rule 35.

3/5/2018
(Date)

John Hatch
(Signature of Supervising Senator/Officer)

Date/Time Stamp:

EMPLOYEE PRE-TRAVEL AUTHORIZATION

Pre-Travel Filing Instructions: Complete and submit this form at least 30 days prior to the travel departure date to the **Select Committee on Ethics in SH-220**. Incomplete and late travel submissions will **not** be considered or approved. This form **must** be typed and is available as a fillable PDF on the Committee's website at ethics.senate.gov. Retain a copy of your entire pre-travel submission for your required post-travel disclosure.

Name of Traveler: Douglas Petersen

Employing Office/Committee: U.S. Senate Committee on Finance

Private Sponsor(s) (list all): The Information Technology and Innovation Foundation (ITIF)

Travel date(s): February 22-25, 2018

*Note: If you plan to extend the trip for any reason you **must** notify the Committee.*

Destination(s): Fort Lauderdale, FL

Explain how this trip is specifically connected to the traveler's official or representational duties:

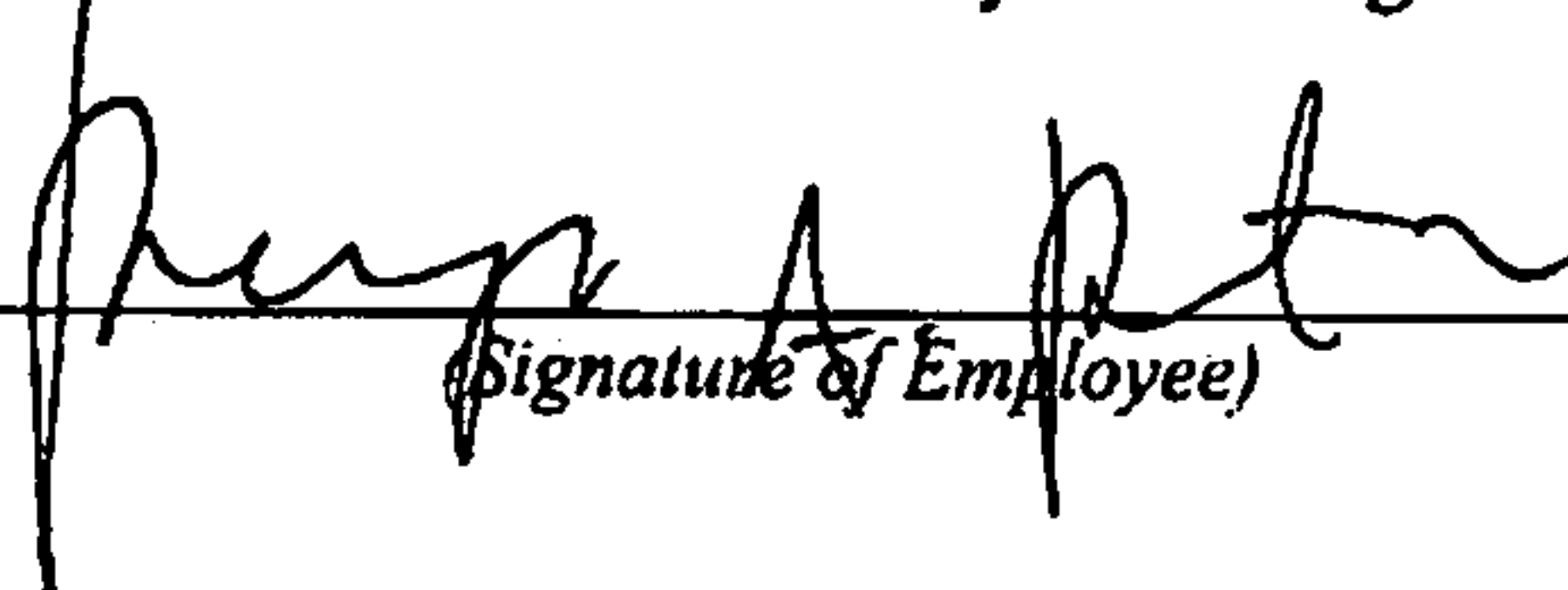
This conference focuses on specific public policy issues concerning international trade, innovation, and technology that are within my portfolio as International Trade Counsel to the U.S. Committee on Finance.

Name of accompanying family member (if any): (None)

Relationship to Employee: ☐ Spouse ☐ Child

I certify that the information contained in this form is true, complete and correct to the best of my knowledge:

1/19/2018
(Date)


(Signature of Employee)

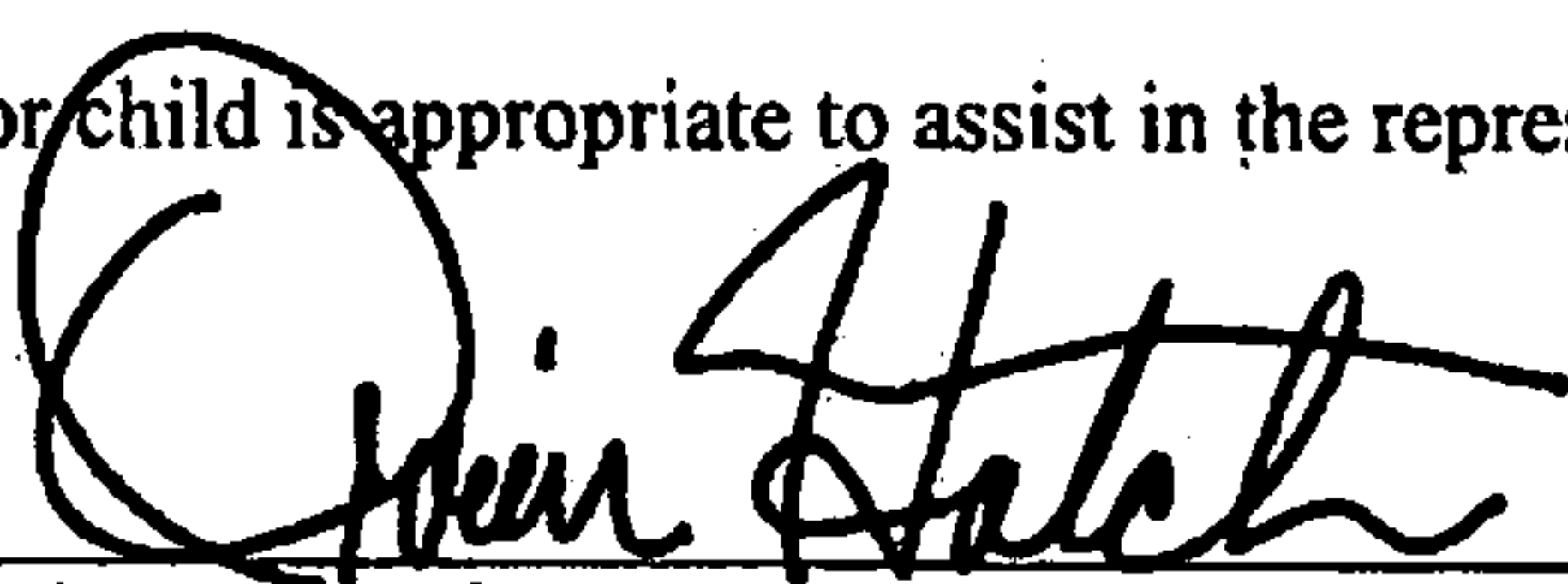
TO BE COMPLETED BY SUPERVISING SENATOR/OFFICER (President of the Senate, Secretary of the Senate, Sergeant at Arms, Secretary for the Majority, Secretary for the Minority, and Chaplain):

I, Senator Orrin G. Hatch hereby authorize Douglas Petersen
(Print Senator's/Officer's Name) (Print Traveler's Name)

an employee under my direct supervision, to accept payment or reimbursement for necessary transportation, lodging, and related expenses for travel to the event described above. I have determined that this travel is in connection with his or her duties as a Senate employee or an officeholder, and will not create the appearance that he or she is using public office for private gain.

I have also determined that the attendance of the employee's spouse or child is appropriate to assist in the representation of the Senate. (signify "yes" by checking box) ☐

1/19/2018
(Date)


(Signature of Supervising Senator/Officer)

PRIVATE SPONSOR TRAVEL CERTIFICATION FORM

This form must be completed by any private entity offering to provide travel or reimbursement for travel to Senate Members, officers, or employees (Senate Rule 35, clause 2). Each sponsor of a fact-finding trip must sign the completed form. The trip sponsor(s) must provide a copy of the completed form to each invited Senate traveler, who will then forward it to the Ethics Committee with any other required materials. The trip sponsor(s) should **NOT** submit the form directly to the Ethics Committee. Please consult the accompanying instructions for more detailed definitions and other key information.

The Senate Member, officer, or employee **MUST** also provide a copy of this form, along with the appropriate travel authorization and reimbursement form, to the Office of Public Records (OPR), Room 232 of the Hart Building, within thirty (30) days after the travel is completed.

1. Sponsor(s) of the trip (please list all sponsors): _____

The Information Technology and Innovation Foundation (ITIF)

2. Description of the trip: ITIF's Fourth Annual Innovation Policy Retreat

3. Dates of travel: February 22 - 25, 2018

4. Place of travel: Fort Lauderdale, FL

5. Name and title of Senate invitees: See Attachment 1

6. I *certify* that the trip fits one of the following categories:

☒ (A) The sponsor(s) are not registered lobbyists or agents of a foreign principal **and** do not retain or employ registered lobbyists or agents of a foreign principal **and** no lobbyist or agents of a foreign principal will accompany the Member, officer, or employee *at any point* throughout the trip.

– OR –

☐ (B) The sponsor or sponsors are not registered lobbyists or agents of a foreign principal, but retain or employ one or more registered lobbyists or agents of a foreign principal and the trip meets the requirements of Senate Rule 35.2(a)(2)(A)(i) or (ii) (*see question 9*).

7. ☒ I *certify* that the trip will not be financed in any part by a registered lobbyist or agent of a foreign principal.

– AND –

☒ I *certify* that the sponsor or sponsors will not accept funds or in-kind contributions earmarked directly or indirectly for the purpose of financing this specific trip from a registered lobbyist or agent of a foreign principal or from a private entity that retains or employs one or more registered lobbyists or agents of a foreign principal.

8. I *certify* that:

☒ The trip will not in any part be planned, organized, requested, or arranged by a registered lobbyist or agent of a foreign principal except for *de minimis* lobbyist involvement.

AND

☒ The traveler will not be accompanied on the trip by a registered lobbyist or agent of a foreign principal except as provided for by Committee regulations relating to lobbyist accompaniment (*see question 9*).

9. **USE ONLY IF YOU CHECKED QUESTION 6(B)**

I *certify* that if the sponsor or sponsors retain or employ one or more registered lobbyists or agents of a foreign principal, one of the following scenarios applies:

☐ (A) The trip is for attendance or participation in a one-day event (exclusive of travel time and one overnight stay) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee *on any segment* of the trip.

– OR –

☐ (B) The trip is for attendance or participation in a one-day event (exclusive of travel time and two overnight stays) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee *on any segment* of the trip (*see questions 6 and 10*).

– OR –

☐ (C) The trip is being sponsored only by an organization or organizations designated under § 501(c)(3) of the Internal Revenue Code of 1986 and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee *at any point* throughout the trip.

10. **USE ONLY IF YOU CHECKED QUESTION 9(B)**

If the trip includes two overnight stays, please explain why the second night is practically required for Senate invitees to participate in the travel:

N/A

11. ☒ An itinerary for the trip is attached to this form. I *certify* that the attached itinerary is a detailed (hour-by-hour), complete, and final itinerary for the trip.

12. Briefly describe the role of each sponsor in organizing and conducting the trip:

ITIF is the sole sponsor, and is organizing all aspects of the event.

13. Briefly describe the stated mission of each sponsor and how the purpose of the trip relates to that mission:

See Attachment 2

14. Briefly describe each sponsor's prior history of sponsoring congressional trips:

See Attachment 3

15. Briefly describe the educational activities performed by each sponsor (other than sponsoring congressional trips):

See Attachment 4

16. Total Expenses for Each Participant:

	Transportation Expenses	Lodging Expenses	Meal Expenses	Other Expenses
<input checked="" type="checkbox"/> Good Faith estimate	\$650	\$900	\$450	
<input type="checkbox"/> Actual Amounts				

17. State whether a) the trip involves an event that is arranged or organized *without regard* to congressional participation or b) the trip involves an event that is arranged or organized *specifically with regard* to congressional participation:

The trip involves events that are arranged and organized without regard to congressional participation.

18. Reason for selecting the location of the event or trip

Ease and affordability of travel from East and West Coasts.

19. Name and location of hotel or other lodging facility:

The Westin Fort Lauderdale Beach Resort, Fort Lauderdale, FL

20. Reason(s) for selecting hotel or other lodging facility:

Availability of meeting space, proximity to major airports, competitive room rates.

21. Describe how the daily expenses for lodging, meals, and other expenses provided to trip participants compares to the maximum per diem rates for official Federal Government travel:

The daily expenses for lodging and meals are above the maximum per diem rates for official Federal

Government travel, but since we need to host everything in a single location, our options were limited.

We negotiated competitive room and food rates we could at a hotel that was able to accomodate our event.

22. Describe the type and class of transportation being provided. Indicate whether coach, business-class or first class transportation will be provided. If first-class fare is being provided, please explain why first-class travel is necessary:

Coach class travel on a commercial airline will be provided.

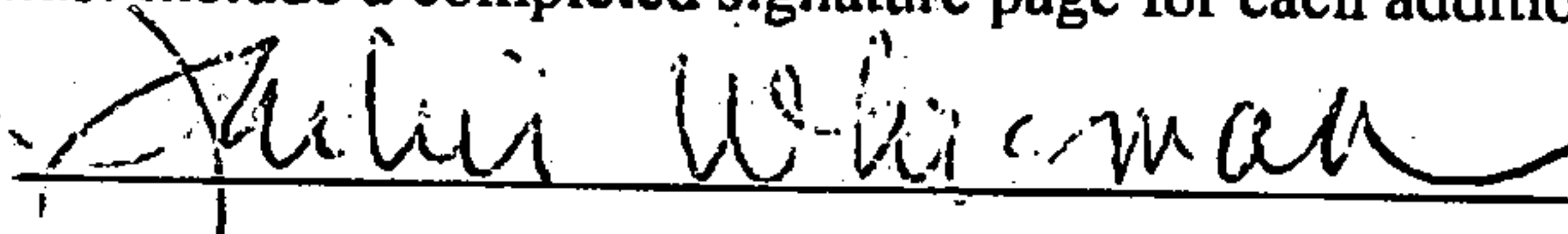
23. ☒ I represent that the travel expenses that will be paid for or reimbursed to Senate invitees do not include expenditures for recreational activities, alcohol, or entertainment (other than entertainment provided to all attendees as an integral part of the event, as permissible under Senate Rule 35).

24. List any entertainment that will be provided to, paid for, or reimbursed to Senate invitees and explain why the entertainment is an integral part of the event:

N/A

25. I hereby *certify* that the information contained herein is true, complete and correct. (For trips involving more than one sponsor, you *must* include a completed signature page for each additional sponsor):

Signature of Travel Sponsor:



Name and Title: Jackie Whisman, Vice President

Name of Organization: ITIF

Address: 1101 K Street, NW, Suite 610, Washington, DC 20005

Telephone Number: (202) 626-5748

Fax Number:

E-mail Address: jackiewhisman@gmail.com

PRIVATE SPONSOR TRAVEL CERTIFICATION FORM – SENATE ATTACHMENTS

ITIF's Innovation Policy Retreat – February 22 – 24, 2018

Attachment 1 – Question 5:

Rep. Suzan DelBene (WA)
Rep. Darrell Issa (CA)
Rep. Michael Burgess (TX)
Rep. Jerry McNerney (CA)
Rep. Hank Johnson (CA)
Chairman Michael McCaul (TX)
Rep. Richard Neal (MA)
Rep. Jackie Speier (CA)
Rep. Ted Deutch (FL)
Sen. Cory Gardner (CO)
Sen. Amy Klobuchar (MN)
Rep. Susan Davis (CA)
Rep. Joe Courtney (CT)
Sen. Todd Young (IN)
Rep. Mike Kelly (PA)
Rep. Carlos Curbelo (FL)
Rep. Ryan Costello (PA)
Rep. Erik Paulsen (MN)
Rep. Susan Brooks (IN)
Rep. Jackie Walorski (IN)
Rep. Val Demings (FL)
Rep. Lisa Blunt Rochester (DE-AL)
Rachel Appleton, Rep. Derek Kilmer
Dustin Carmack, Rep. Ron DeSantis
Machalagh Carr, House Ways and Means Committee
Josh Connolly, Rep. Jackie Speier
Ryan Dattilo, House Judiciary Committee
Austin Durrer, Rep. Charlie Crist
Andy Flick, Rep. Seth Moulton
Chapin Gregor, Senate Commerce Committee
Tyler Grimm, Rep. Darrell Issa
Brad Howard, Rep. Stephanie Murphy
Aruna Kalyanam, House Ways and Means Committee
Douglas Peterson, Senate Finance Committee
Steven Pfrang, Rep. Darin LaHood
Ansley Rhyne, Sen. Marco Rubio
Curtis Rhyne, Rep. George Holding
Tricia Russell, Rep. Josh Gottheimer
Aaron Schmidt, Rep. Suzan DelBene
Franz Wuerfmannsdobler, Sen. Chris Coons
Lori Prater, Rep. Mike Kelly

ITIF is co-chaired by former members of Congress Vic Fazio and Phil English, and governed by a board of distinguished IT and innovation policy leaders and experts. Senators Chris Coons and Orrin Hatch are the Foundation's Honorary Senate Co-Chairs, and Congresswoman Anna Eshoo and Congressman Darrell Issa are the Foundation's Honorary House Co-Chairs.

Attachment 3 – Question 14:

We have traveled to Palo Alto, California each year since 2010 with at least 20 staffers on each trip. Over the years, we have also organized trips to Portland, OR, Seattle, WA, Los Angeles and San Diego, CA, Philadelphia, PA and Boston, MA. This will be our fourth policy retreat in Florida.

Attachment 4 – Question 15:

TTIF is committed to articulating and advancing a pro-productivity, pro-innovation and pro-technology public policy agenda internationally, in Washington, and in the states because we understand the vital role of technology in ensuring American prosperity. We are the leading resource for elected officials, their staff and the media who rely on our ongoing policy reports, forums and policy debates to ensure that new and creative policy proposals are helping advance innovation, analyzing and making recommendations to update existing policy issues through the lens of innovation and productivity, and opposing policies that hinder innovation.

Draft Agenda: 2018 ITIF Winter Policy Retreat
February 22 – 24, 2018

The Westin Fort Lauderdale Beach Resort - 321 North Fort Lauderdale Beach Boulevard

Thursday, February 22, 2018

Afternoon arrivals into Fort Lauderdale (FLL)

2:00 – 6:00 PM **Registration in Hotel Lobby**

6:00 – 7:00 PM **Welcome Reception**

7:15 – 9:00 PM **Dinner Discussion: The Future of Health Care Innovation: Biologics, Big Data and Nano**

Friday, February 23, 2018

8:00 AM **Breakfast Buffet**

8:30 – 9:00 AM **Introductory Remarks**

9:15 – 10:30 AM **Panel: “Overcoming Europe’s Economic Risk Aversion”**
U.S. economic policy embraces entrepreneurial dynamism, risk-taking, and breakthrough innovations. Europe’s framework is fundamentally different. It is grounded in the precautionary principle—that is, avoiding risks—which in practice means limiting the use of data; over-regulating new technologies (like robots, over-the-top applications, etc.); challenging large firms for the sin of being large (and American); and generally resisting the kind of Schumpeterian creative destruction that is so vital to growth and progress. It would be one thing if this affected only Europe. But other regions, particularly Latin America, are increasingly looking to copy the European model. This panel will discuss the challenges posed by Europe’s overabundance of caution and explore how innovation supporters and the U.S. government can better make their case, both in Europe and to others looking for guidance.

Moderator: **Rob Atkinson**, President, ITIF
Confirmed: **Paul Hofheinz**, President/Co-Founder, Lisbon Council
 Damien Levie, Head of Trade, Embassy of the European Union

Invited: **Guy Verhofstadt**, President, Alliance of Liberals and Democrats for Europe, European Parliament
 Ambassador Mariam Sapiro
 David Weiner, Deputy Assistant USTR for Europe

Friday, February 23, 2018 (continued)

10:45 – 12:00 PM

Panel: “China Trade: Constructive Confrontation or All-Out Trade War?”

President Trump campaigned on getting tougher on China’s unfair trade practices, and his administration has rightly started to follow through by launching a Section 301 Investigation of China’s policies and practices related to tech transfer, intellectual property, and innovation. But what are the pros and cons of the policy options available to crack down on Chinese “innovation mercantilism?” And what should Congress do to complement or restrain the administration’s China trade policy? This panel will explore these and other questions surrounding America’s most fraught economic relationship and the integrity of the global trading system.

Moderator: Stephen Ezell, Vice President, Global Innovation Policy, ITIF
Confirmed: Hon. Byron Dorgan, Senior Policy Advisor, Arent Fox
 Claire Reade, Senior Counsel, Arnold and Porter
 Dennis Shea, Vice Chairman, U.S.-China Economic and Security Review
 Tim Wineland, Deputy Assistant USTR for China Affairs
Invited: Gil Kaplan, Under Secretary for International Trade Designate
 Clete Willems, Special Assistant to the President for Trade
 Orville Schell, Director, Center on U.S.-China Relations, Asia Society

12:00 PM

Lunch

1:00 – 2:15 PM

Panel: “Innovation Companies in the Crosshairs: How Should They Respond?”

More and more, innovation industries are under attack, not just in the United States, but around the world. They stand accused of being monopolists that make excess profits by controlling and abusing of vital chokepoints in the network economy; violating privacy and enabling all sorts of anti-social activities; and destroying jobs. This snowballing narrative poses a major threat to pro-innovation, pro-growth policies around the world. This panel will explore these issues and discuss how advocates for innovation should respond.

Moderator: Rob Atkinson, President, ITIF
Confirmed: Congresswoman Suzan DelBene (WA-01)
 Congressman Darrell Issa (CA-49)

Friday, February 23, 2018 (continued)

- 2:30 – 3:30 PM **Fireside Chat with Everett Eissenstat**, Deputy Assistant to the President for International Economic Affairs and Deputy Director of the NEC (invited)
- 3:30 – 4:30 PM **Discussion with FCC Commissioners Jessica Rosenworcel and Mike O'Reilly** (invited)
- 5:00 – 7:00 PM **Dinner Discussion: "Big Is Under Attack: How to Restore the Reputation of Large Corporations as Forces for Progress and Opportunity"** with ITIF President Rob Atkinson

Saturday, February 24, 2018

- 8:30 AM **Breakfast Buffet**
- 9:00 – 9:30 AM **Fireside Chat with Vishal Amin**, U.S. Intellectual Property Enforcement Coordinator (invited)
- 9:30 – 10:45 AM **Panel: "Cybersecurity Analysis"**
High-profile cyberattacks on U.S. companies, government agencies, and even presidential campaigns now seem to be regular occurrences. Some of the perpetrators are state-supported, some are criminal enterprises, and still others are rogue actors. Regardless of the source, these threats undermine support for IT-driven innovation, and there is a growing urgency in Washington to do more to secure the nation's IT systems. What should Congress and the administration do? Among the options are shifting from an offensive posture to a more defensive one, supporting strong end-to-end encryption, and developing a national system of secure identities. This panel will assess the most pressing threats the country faces and explore the path forward.
- Moderator: **Daniel Castro**, Vice President, ITIF
- Confirmed: **Barbara Hoffman**, Vice President, Business Development, B2G, Samsung Electronics
Joan O'Hara, Deputy National Security Advisor to the Vice President of the United States
- Invited: **Jeanette Manfra**, National Protection and Programs Director and Assistant Secretary for the Office of Cybersecurity and Communications, Department of Homeland Security
Chairman Michael McCaul, U.S. House of Representatives

Saturday, February 24, 2018 (continued)

11:00 AM – 12:15 PM Panel: “Technology and the Future of Work”

It seems impossible to go a day without reading how the so-called “fourth industrial revolution,” propelled by technological innovation and relentless automation, will lay waste to jobs and leave people out in the cold. But instead of succumbing to this kind of techno-panic, it’s worth stepping back and questioning the premise. What kind of labor market impacts can we really expect from emerging technologies like artificial intelligence, automation, and robotics? More importantly, what kinds of policies do we need to ensure American workers are better equipped to successfully navigate inevitable economic transitions? And how can supporters of technological innovation win the fight against the “neo-Luddites” and their sympathizers.

Moderator: **Rob Atkinson**, President, ITIF
Confirmed: **Amit Singh**, Senior Vice President, Global Public Policy, Uber
Invited: **James Bessen**, Boston University School of Law
Reed Cordish, Special Assistant to the President for
Intragovernmental and Technology Initiatives
James Manyika, Chairman and Director, McKinsey Global
Institute
Eduardo Padrón, President, Miami Dade College
Pamela Tate, CEO, CAEL

12:30 PM Lunch Buffet

1:00 – 2:00 PM Remarks by Alex Acosta, Secretary, United States Department of Labor (invited)

2:30 – 3:45 PM Panel: “Advancing U.S. Digital Policy in the Global Arena”

The United States has pioneered global digital policy on many issues such as Internet governance, digital trade, data protection, online speech, and intellectual property protection. Yet many countries now look to replicate ideas from Europe, rather than the United States, when formulating their own policy initiatives for the digital economy. How should U.S. policymakers and businesses educate and promote innovation-friendly policies with other countries and ensure continued U.S. digital policy leadership?

5:00 – 7:00 PM

Dinner Discussion on Tech Policy Prospects with Congressional Staff

2017 was an eventful year for technology policy, and 2018 promises to be just as eventful with Congress potentially weighing in on a host of different tech issues, including telecom reform, open government data, copyright, cybersecurity and privacy. A panel of Congressional staff members focused on tech policy will engage in a moderated discussion of what is likely in store for the rest of the 115th Congress.

Confirmed: **Rachel Appleton**, Rep. Derek Kilmer
Dustin Carmack, Rep. Ron DeSantis
Machalagh Carr, House Ways and Means Committee
Josh Connolly, Rep. Jackie Speier
Ryan Dattilo, House Judiciary Committee
Austin Durrer, Rep. Charlie Crist
Andy Flick, Rep. Seth Moulton
Chapin Gregor, Senate Commerce Committee
Tyler Grimm, Rep. Darrell Issa
Brad Howard, Rep. Stephanie Murphy
Aruna Kalyanam, House Ways and Means Committee
Douglas Peterson, Senate Finance Committee
Steven Pfrang, Rep. Darin LaHood
Ansley Rhyne, Sen. Marco Rubio
Curtis Rhyne, Rep. George Holding
Tricia Russell, Rep. Josh Gottheimer
Franz Wuerfmannsdobler, Sen. Chris Coons
Aaron Schmidt, Rep. Suzan DelBene

Invited Members of Congress:

Rep. Suzan DelBene (WA) (confirmed)

Rep. Darrell Issa (CA) (confirmed)

Rep. Michael Burgess (TX)

Rep. Jerry McNerney (CA)

Rep. Hank Johnson (CA)

Chairman Michael McCaul (TX)

Rep. Richard Neal (MA)

Rep. Gerry Connolly (VA)

Rep. Jackie Speier (CA)

Rep. Ted Deutch (FL)

Sen. Cory Gardner (CO)

Sen. Amy Klobuchar (MN)

Rep. Susan Davis (CA)

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Sen. Todd Young (IN)

Rep. Mike Kelly (PA)

Rep. Carlos Curbelo (FL)

Rep. Ryan Costello (PA)

Rep. Erik Paulsen (MN)

Rep. Susan Brooks (IN)

Rep. Jackie Walorski (IN)

Rep. Val Demings (FL)

Rep. Lisa Blunt Rochester (DE-AL)